



In The United States Patent and Trademark Office

Serial Number: 10/803,478
Applicants: Yih-Kuen TSAY et. al.
Filing Date: 03/17/2004
Title: Sequence Based Indexing and Retrieval Method for Text Documents
Examiner: n/a
Group Art Unit: 2171
Docket No.: USP2364T-TP010-NTU

Date: July 20, 2004

Submission of Missing Parts and Assignment

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The applicant respectfully submits the following documents in response to the Notice to File Missing Parts of Non-provisional Application dated 06/02/2004.

1. A declaration for patent application signed on 04/16/2004.
2. A verified statement claiming small entity status by inventor.
3. A check in the amount of US\$385.00
4. A check in the amount of \$65.00 for late declaration surcharge.
5. Part two of Notice of Missing Parts
6. Three Assignment Forms signed by individual assignors
7. Assignment Recordation Documents
8. Power of Attorney by Assignee
9. Verified Statement of Small Entity Status by Assignee

Please accept the above documents and continue the prosecution for this immediate application.

The Commissioner is hereby authorized to charge or credit any overpayment to the following deposit account: Account Name: David and Raymond Patent Firm; Account No.: 502111.

Respectfully submitted,

Raymond Y. Chan
Reg. Nr.: 37,484
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Certification of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Mail Stop Missing Parts, Commissioner of Patents, Alexandria, VA 22313-1450," on the date below.

Mail Date: July 20, 2004

Signature:
Person Signing: Raymond Y. Chan

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,478	03/17/2004	Yih-Kuen Tsay	USP2364T-DRTP

Raymond Y. Chan
 108 N. Ynez Ave., Suite 128
 Monterey Park, CA 91754

CONFIRMATION NO. 5502
FORMALITIES LETTER



OC000000012849190

Date Mailed: 06/02/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/23/2004 GWORDOF1 00000045 10803478

FILED UNDER 37 CFR 1.53(b)

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$580** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents

P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

BH

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE